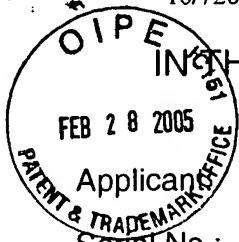


1Fw



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald Scott Bunker et al.

Group Art Unit: 3746

Serial No.: 10/720,045

Examiner:

Filed: November 19, 2003

For HOT GAS PATH COMPONENT WITH MESH
AND DIMPLED COOLING**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

- ☒ 1 **Pursuant to 37 CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit_____
Type or Print Name_____
Signature

This paper is submitted in accordance with:

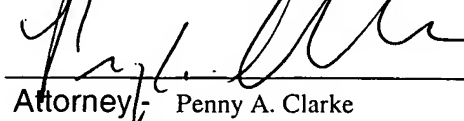
- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☐ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

2-27-05

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147

Respectfully submitted



Attorney/- Penny A. Clarke

Registration No. 46,627

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FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

124698-1

SERIAL NO.

10/720,045

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

Applicant

Ronald Scott Bunker et al.

Filing Date

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Group

3746

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	M. Ya Belen'kii et al., "Experimental Study of the Thermal and Hydraulic Characteristics of Heat-Transfer Surfaces Formed by Spherical Cavities," II. Polzunova Cent. Scientific Research, Translated from Teplofizika Vysokikh Temperatur, Vol.29, No.6, 1992 Plenum Pub. Corp., pp. 928-933.
AS	Belen-kiy et al., "Heat Transfer Augmentation Using Surfaces Formed by a System fo Spherical Cavities," ISSN1064-2269/93/0002-0196, Heat Transfer Research, Vol. 25, No. 2, 1993, pp.196-203
AT	Kesarev et al., "Convective Heat Transfer in Turbulized Flow Past a Hemispherical Cavity," Heat Transfer Research, Vol. 25, No. 2, 1993, pp. 156-160.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

FORM PTO-1449
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	BB					
	BC					
	BD					
	BE					
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	BI					
	BJ					
	BK					

FOREIGN PATENT DOCUMENTS

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							YES	No
	BL							
	BM							
	BN							
	BO							
	BP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

BR	N. Syred et al., "Effect of Surface Curvature on Heat Transfer and Hydrodynamics within a Single Hemispherical Dimple," Paper No. 2000-GT-236, Proceedings of ASME Turboexpo 2000, May 8-11, 2000, Munich Germany, pp. 1-6.
BS	H. K. Moon et al., "Channel Height Effect on Heat Transfer and Friction in a Dimpled Passage," IGTI Turbo Expo, Paper No. 99-GT-163, Indianapolis, pp. 1-8
BT	C. Carcasi et al., "Heat Transfer and Pressure Drop Evaluation in Thin Wedge-Shaped Trailing Edge," Paper No. GT-2003-38197, IGTI Turbo Expo, June 16-19 Atlanta, Georgia, pp. 111-122.

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	CB					
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	CK					

FOREIGN PATENT DOCUMENTS

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						YES	No
	CL						
	CM						
	CN						
	CO						
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OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

CR	M. K. Chyu et al., "Convective Heat Transfer of Cubic Fin Arrays in a Narrow Channel," Journal of Turbomachinery, April 1998, Vol. 120, pp. 362-367.
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CT	V. N. Afanas'Yev et al., "Thermohydraulics of Flow Over Isolated Depressions (Pits, Grooves) in a Smooth Wall," Heat Transfer Research, ISSN 1064-2285/93/0001-0022, Vol. 25, No. 1, 1993, pp. 22-56.

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						YES	No
	DL						
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OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

DR	V. N. Afanas'yev et al., "Heat Transfer and Friction on Surfaces Contoured by Spherical Depressions," Heat Transfer Research, Vol. 24, No. 1, 1992. pp. 24-105.
DS	V. N. Afanasyev et al., "Turbulent Flow Friction and Heat Transfer Characteristics for Spherical Cavities on a Flat Plate," Experimental Thermal and Fluid Science, 1993, Vol. 7, pp. 1-8.
DT	D. E. Metzger et al., "Developing Heat Transfer in Rectangular Ducts with Staggered Arrays of Short Pin Fins", Journal of Heat Transfer, Vol. 104, November 1982, pp. 100-706.

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Applicant

Ronald Scott Bunker et al.

Filing Date

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2003

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FOREIGN PATENT DOCUMENTS

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						YES	No
	EL						
	EM						
	EN						
	EO						
	EP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

ER	K. Takeishi et al., "HEAT TRANSFER CHARACTERISTICS OF A FLOW PASSAGE WITH LONG PIN FINS AND IMPROVING HEAT TRANSFER COEFFICIENT BY ADDING TURBULENCE PROMOTERS ON A ENDWALL, " Paper No. 2001-GT-178, IGTI Turbo Expo, New Orleans, pp.
ES	S. Anzai et al., "Effect of the Shape of Turbulence Promoter Ribs on Heat Transfer and Pressure Loss Characteristics", Bulletin of the Gas Turbine Society of Japan, 1992.
ET	

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